<table>
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<th>KMMS Academic Misconduct Procedures</th>
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<tbody>
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Kent and Medway Medical School Academic Misconduct: Procedures

1 Introduction
1.1 All students at Kent and Medway Medical School (KMMS) have a responsibility to act with honesty, integrity and fairness in completing assessment requirements in relation to their course of study. Such academic integrity plays a positive role in student development both during study and when moving into employment.

In achieving this, students should take note of the concepts of good academic practice, and academic integrity as detailed at: https://www.kent.ac.uk/ai/students/goodpractice.html

1.2 Where breaches of academic integrity occur, this is considered to be academic misconduct. Allegations of academic misconduct are dealt with in accordance with the procedures below. These procedures apply to all programmes of study within KMMS and are administered by the University of Kent.

2 General Regulation V.3: Academic Misconduct
2.1 The KMMS Regulations for the Conferment of the Award of Bachelor of Surgery Bachelor of Medicine states:

*Students are required to act with honesty and integrity in fulfilling requirements in relation to assessment of their academic progress.*

2.2 The following are some illustrative examples of academic misconduct which will be regarded as a breach of this regulation (the list is not exhaustive):

- **Misconduct in examinations and In Course Tests**: including the use of unauthorised materials, mobile phones and other prohibited electronic devices, obtaining or offering improper assistance to another candidate.

- **Attempting to influence a member of staff**: seeking to gain an advantage regarding work submitted for assessment by offering an examiner or teacher any inducement to treat that work more favourably than the work itself merits. This could also include an attempt to induce a professional service staff member to alter a mark or mark(s) for a more favourable outcome.

- **Plagiarism**: reproducing in any work submitted for assessment or review (for example, examination answers, essays, project reports, dissertations or theses) any material derived from work authored by another without clearly acknowledging the source. Presenting work copied directly from another student without their knowledge.

- **Duplication of material (self-plagiarism)**: reproducing in any submitted work any material used by that student in other work for assessment, either at this University or elsewhere.
• **Collusion**: conspiring with others to reproduce the work of others, including knowingly permitting work to be copied by another student. Collusion is distinct from contract cheating as it does not depend on a payment or payment in kind being made for the work.

• **Impersonation**: allowing an individual or individuals to impersonate the student in an examination or other assessment event/activity.

• **Fabrication**: the falsification of data, evidence, quotations, citations or other information in any assessed work.

• **Failure to obtain Ethical / Research Approval**: the failure to obtain ethical approval where there is a requirement to do so. Carrying out research without appropriate permission.

• **Contract Cheating**: where a student (or several students collectively) pay a third party for services that result in the submission of work for assessment that is, either wholly or in part, not the student’s own work. The payment may be financial or involve payments in kind. Where no payment is made the alleged offence should be treated as **collusion**, **plagiarism** or **impersonation**, as appropriate.

2.3 Referral to KMMS Regulations on Student Discipline in Relation to Non-Academic Matters and Low-Level Concerns, Fitness to Study and Fitness to Practise

2.3.1 It may be appropriate in investigating an allegation of misconduct for a student to be referred to the procedure for non-academic disciplinary matters or the KMMS Low-Level Concerns, Fitness to Study and Fitness to Practise Procedure. For example, where it is alleged that a student has falsified documentation as part of an academic procedure, such as the mitigation of extenuating circumstances or where the misconduct raises questions about a student’s honesty, trustworthiness or character.

2.3.2 Regulations on KMMS Student Discipline in Relation to Non-Academic Matters and the KMMS Low-Level Concerns, Fitness to Study and Fitness to Practise are available at:

www.kmms.ac.uk

3 Principles

3.1 **Guidance and Training** – KMMS will provide students with information regarding academic integrity and students will be given access to an online Academic Integrity module during their first term of study.

3.2 **Advice** - students can access advice and guidance in relation to any allegation of academic misconduct via either Christ Church Students’ Union or Kent Union/.¹

¹ [https://cesu.co.uk/](https://cesu.co.uk/) and [https://kentunion.co.uk/](https://kentunion.co.uk/)
3.3 **Confidentiality** – appropriate levels of confidentiality will be maintained throughout academic misconduct procedures. Data related to academic misconduct procedures will be kept in line with the KMMS Documentation Retention and Archiving Policy.²

3.4 **Timeliness** – Allegations of academic misconduct will be dealt with in a timely manner, and without any undue delay. The University of Kent will aim to complete the investigation and the formal process of considering an allegation within 40 working days and hear any appeal within 20 working days of the appeal being lodged. Students will be kept informed of progress of any claim of academic misconduct against them.

3.5 **Strict Liability** – all types of academic misconduct are considered under the principle of strict liability. This means that whether a student intended to commit an academic misconduct offence or not, is not of relevance.

3.6 **Balance of Probabilities** – the standard of proof used for academic misconduct is the balance of probabilities. This means that decisions on allegations of academic misconduct will be based on whether the Chair of/ the Academic Misconduct Committee believes, given the information available, it is more likely that the allegation is true, or untrue.

3.7 **Members of Academic Misconduct Committees** – Members of Committees who consider academic misconduct allegations will be given appropriate training by the University of Kent.

4 **Links to Other University Policies and Procedures**

4.1 Other policies and procedures may need to be consulted when considering cases of alleged academic misconduct. These are:

4.1.1 KMMS Mitigation of Extenuating Circumstances Procedures.

4.1.2 The University of Kent’s Code of Ethical Practice for Research.

4.1.3 Code of Practice for the Investigation of Allegations of Misconduct in Research.

4.1.4 KMMS Student Discipline in Relation to Non-Academic Matters Procedures.

4.1.5 KMMS Low-Level Concerns, Fitness to Study and Fitness to Practise Procedures.

² Link to KMMS policy when approved and available on website
5 Academic Misconduct Committees

5.1 KMMS will establish one or more Academic Misconduct Committee(s) to consider alleged cases of academic misconduct. Committees shall consist of three academic members of the School one of whom shall act as Chair, and one student representative from either University but not from the same programme of study as the student being considered by the Committee. Each Committee will have a Secretary who shall ensure that appropriate records are kept of all academic misconduct allegations and their outcomes.

5.1.1 It is recognised that KMMS will organise the Chairing of Committee(s) in line with their own requirements (i.e. the School may have one committee Chair for each committee or may have a single Chair who Chairs each of the committees).

5.1.2 All committees should operate under the procedures outlined in this Annex.

5.1.3 KMMS will take steps to ensure that there is consistency between its committees, through the on-going monitoring and review of decisions made.

5.2 For the avoidance of doubt, neither the marker(s) raising the allegation of academic misconduct, nor the Module Convenor carrying out the informal assessment of an allegation of misconduct, may be part of the Academic Misconduct Committee.

6 Procedures for Considering Allegations of Academic Misconduct

6.1 These procedures apply to all types of academic misconduct (including those examples given at 2.2 above) and to all registered students of the University (and where applicable to students who have graduated from the University – see Section 15 below).

6.2 Cases will then be referred to the Chair of the relevant Academic Misconduct Committee in the student’s ‘home’ School, for the formal stage of the academic misconduct procedures to be completed.

6.3 Module Convenors cannot amend a decision made by the Chair of Academic Misconduct Committee of the student’s ‘home’ School.

6.4 All other cases of Academic Misconduct can be managed within the School (i.e. where a student is taking a module outside of their ‘home’ subject area, but within KMMS).

7. Informal Stage

7.1 Where a marker(s) suspects a case of academic misconduct has occurred, they should inform the student concerned and request that the Module Convener carry out an initial investigation of the evidence.
7.2 The investigation should include the Module Convener interviewing the student against who the allegation of academic misconduct is being made.

7.3 If the Module Convener considers after investigation that the allegation is without foundation, they shall inform the student and no further action shall be taken.

7.4 The relevant section of the Academic Misconduct Referral Form should be completed and returned to the Academic Misconduct Committee Secretary who will retain a record of the investigation and outcome.

7.5 Where the Module Convener considers that there is a case to answer, it will be referred to the Chair of the Academic Misconduct Committee for formal consideration.

7.6 The relevant section of the Academic Misconduct Referral Form should be completed and forwarded to both the Chair and the Secretary to the Academic Misconduct Committee. The form will state the nature of the allegation and reasons for referral. Evidence relating to the allegation will be provided with the form.

8. Formal Stage

8.1 The Chair of the Academic Misconduct Committee will review the allegation of academic misconduct and associated evidence.

8.2 If the Chair considers after investigation that the allegation requires no further action, they shall inform the student, the Module Convener and no further action shall be taken.

8.3 The Chair will complete the relevant section of the Academic Misconduct Referral Form, outlining the reasons why no action will be taken. This form will be provided to the Secretary of the Academic Misconduct Committee who will retain a record of the investigation and outcome.

8.4 Where the Chair considers that there is a case to answer, they will determine the severity of the offence, considering the following:

- The contribution to the overall mark for a module made by the piece of work in which the instance of alleged misconduct has been identified;
- For plagiarism, the proportion of the piece of work that is plagiarised;
- Whether the student is already in receipt of a formal warning for academic misconduct;
- The number of previous or contemporaneous offences, if any, with any instances of repeat offending normally to be regarded as constituting a more serious offence;
Evidence of intent to deceive, with any such evidence normally to be regarded as requiring the treatment of the case as per a more serious offence.

8.5 In determining the severity of an offence, and its associated Penalty Category, the Chair can also consult the indicative Exemplar Offences and Penalties provided in Appendix B.

8.6 Once the Chair has considered the seriousness of the offence, the Chair will determine which Penalty Category will apply (Typically ‘A’ & ‘B’ for Minor, including first, Offences, ‘C’ & ‘D’ for Significant Offences, and ‘E’ for Serious, including repeat, Offences) from the Academic Misconduct Penalties table at Appendix A.

8.7 The relevant section of the Academic Misconduct Referral Form should be completed and returned to the Academic Misconduct Committee Secretary who will retain a record of the investigation and outcome.

9. Application of Penalties

9.1 When considering the appropriate penalty for academic misconduct, the Academic Misconduct Penalties table in Appendix A should be used. Exemplar Offences and Penalties are provided in Appendix B.

9.2 Minor Offences (including first offences) Penalty Categories A to B.

Please Note: These exclude plagiarism first offences, the actions for which can be found at 9.3 below.

9.2.1 Where the Chair determines based on the available evidence that the case should be treated as a minor offence they will propose a penalty from those available in Appendix A;

9.2.2 The Secretary to the Academic Misconduct Committee will write to the student and set out the details of the allegation, the nature of the evidence, and the proposed penalty.

9.2.3 Should the student either decide not to contest the allegation or fail to respond to the Secretary within the prescribed deadline (normally 10 working days, though this may be a shorter period if this is necessary to ensure that the outcome can be made available to a meeting of the Board of Examiners) the penalty will be applied automatically. The Secretary will inform the Chair of the relevant Board of Examiners of the decision.

9.2.4 If the student contests the allegation and/or the proposed penalty, the Chair will refer the case to the Academic Misconduct Committee.
9.2.5 Following consideration by the Academic Misconduct Committee, the Secretary will inform the student of the outcome of their case, including whether the original outcome has been upheld, or a new outcome reached.

9.2.6 The Secretary will inform the Chair of the relevant Board of Examiners of the decision of the Academic Misconduct Committee.

9.2.7 In receiving the outcome of the KMMS Academic Misconduct Committee, the student shall be informed of their right to appeal as per the procedure referenced in Section 12 below.

9.2.8 The final outcome for the allegation of academic misconduct will be recorded on the Academic Misconduct Referral Form. The form shall be held on file by the Secretary to the Academic Misconduct Committee.

9.3 Plagiarism – First Offence

The University acknowledges that at the start of a student's career, plagiarism may be inadvertent and a result of inexperience or poor academic practice. In recognition of this fact, the following procedures have been developed to manage first offences of plagiarism.

9.3.1 Where a first offence of plagiarism is suspected in a piece of work submitted by a stage 1 undergraduate student, discretion is afforded by the Chair of the Academic Misconduct Committee to treat the case as warranting only a referral for academic support. A record of the outcome will be kept by the Secretary to the Academic Misconduct Committee.

9.3.2 Where a first offence of plagiarism is suspected in a piece of work submitted by a student other than a Stage 1 undergraduate student, the Chair has discretion to treat the case as warranting a minor penalty (Category A1 – B2) provided that:

- The Chair is satisfied that the incidence of plagiarism is a result of poor academic practice;
- There is, therefore, no evidence of any intent to deceive;
- The piece of work in question constitutes the first such incidence of plagiarism for that student.

9.3.3 Such cases, as described in 9.3.2, will be conducted as per the procedures for uncontested minor offences and, if proven, will result in the Chair issuing a formal warning letter to the student via the Secretary to the Academic Misconduct Committee, who will keep a record of the outcome. The formal warning letter will set out the possible consequences of any further cases of plagiarism. The student will be referred to the Student Learning Advisory Service for support regarding Academic Practice.

9.3.4 In the case of a Stage 1 undergraduate student, the Chair, in consultation with the Module Convener, will determine if a mark may be returned for the piece of work based on the portion which is not
plagiarised or whether the student should be permitted to re-submit the piece of work without penalty by an agreed deadline. Where, subsequent to the discounting of the plagiarised portion, the mark awarded is lower than the pass mark, the student may be given the opportunity to resubmit the work where it is considered appropriate to do so, by an agreed deadline for an uncapped mark.

9.3.5 In the case of a student other than a Stage 1 undergraduate student, the Chair, in consultation with the Module Convener, will determine if a mark may be returned for the piece of work based on the portion that is not plagiarised. If the mark which is given is below the pass mark, then the student may be permitted to re-submit the work where it is considered appropriate to do so, by an agreed deadline for a maximum of a pass mark.

9.3.6 This opportunity to resubmit in term time prior to the next available resubmission opportunity only applies to First Offence plagiarism cases.

9.3.7 Such cases will not - in isolation - be regarded as constituting a breach of academic misconduct and will not be recorded on the student’s transcript or academic reference. However, when considering any subsequent cases of plagiarism, the Chair will consider whether a formal warning has earlier been issued to the offender and whether or not it is a repeat offense.

9.4 Significant to Serious (including repeat) Offences Penalty Categories C-E.

9.4.1 Where the Chair of the Academic Misconduct Committee determines based on the available evidence that the case should be treated as per a significant or serious offence, they will ask the Secretary to convene the Academic Misconduct Committee to hear the case.

9.4.2 The Committee will determine based on the available evidence, and representations of the student, the penalty that should be imposed from those available in Appendix A.

9.4.3 The Secretary will inform the student of the outcome of their case.

9.4.4 The Secretary will inform the Chair of the relevant Board of Examiners of the decision of the Academic Misconduct Committee.

9.4.5 In receiving the outcome of the Academic Misconduct Committee, the student will be informed of their right to appeal as per Section 12 below.

9.4.6 The final outcome for the allegation of academic misconduct will be recorded on the Academic Misconduct Referral Form. The form shall be held on file by the Secretary to the Academic Misconduct Committee.
10. Procedures for Academic Misconduct Committees

10.1 The following procedures should be observed in operating Academic Misconduct Committees.

10.1.1 The student shall be informed by the Secretary of the date on which the Academic Misconduct Committee will consider the case.

10.1.2 Students will be informed that they may submit evidence to the Committee in writing or, where the Chair considers an oral hearing appropriate, in person.

10.1.3 Except where the Chair decides that evidence provided by either party should be confidential to the Committee, students and Committee members will each be provided with copies of the written evidence submitted by the other and, where an oral hearing is held, they will both be permitted to hear the other’s verbal evidence.

10.1.4 Where a student opts to be accompanied by a member of staff, member of either Students’ Union (add link to Kent Union and CCCU Union) or another student of either University or a relative, it is their responsibility to arrange this. The meeting organiser must be made aware of any additional attendee at least 2 working days before the meeting.

10.1.5 Hearings are not legal proceedings and a student may not normally be accompanied by a legal representative, even if the legal representative is a member of staff or a student of the Universities or a member of staff of the Students’ Union or a relative.

10.1.6 However, in complex disciplinary cases, or cases where the outcome can lead to serious consequences, (i.e. Category E penalties such as Termination of Studies) students are permitted to have legal representation.

10.1.7 Students must give the Chair of the Academic Misconduct Committee advance notice where they intend to use legal representation, so that the Universities can support the Academic Misconduct Committee in obtaining its own legal advice/support.

10.1.8 Where legal representation is required by both parties, the date of the Academic Misconduct Committee hearing may need to be amended, considering that the consideration of allegations should be completed within 40 working days (see 3.4).

10.1.9 Where a student does not attend an Academic Misconduct Committee hearing without good reason, they will have no further right of redress within the University’s appeals procedures.
10.1.10 Where non-attendance is found to be for good reason, the Chair will reconvene the Committee later, taking into account that the consideration of allegations should be completed within 40 working days (see 3.4).

10.1.11 The Chair shall have the right to decide that evidence submitted verbally or in writing should be ignored by the Committee on the grounds that it is irrelevant or inappropriate and shall give reasons for doing so.

10.1.12 The Secretary shall be responsible for ensuring that a confidential record is kept of all cases. The University of Kent is obliged to release details relating to academic discipline offences if these are explicitly requested by prospective employers as part of an academic reference or where disclosure is an obligatory professional requirement.

11. Other Matters to Note

11.1 **Formal Written Warning** – a formal written warning will be sent to all students where it is found that academic misconduct has taken place, regardless of the severity of the offence.

11.2 **Academic Practice Referral** - a referral for further guidance on Academic Practice will be made for students where a minor offence has been investigated, but no penalty applied.

11.3 **Academic Integrity Training** - there is a requirement for Academic Integrity Training to be completed for all acts of academic misconduct where a penalty has been applied.\(^3\)

11.4 **First and Subsequent Offences** - students must receive a formal written warning for a first offence, prior to a second offence being established. This is to recognise that students may submit multiple assessments at the same time, where the same academic integrity issues arise. In such cases it would be inappropriate to establish a second offence prior to academic integrity training having been completed.

11.5 **Re-assessment** - where, as a result of academic misconduct, a piece of work is failed (i.e. a plagiarised piece of work has its mark reduced to ‘0’), resulting in the failure of a module, any re-submission of that work will count as one of a student’s two automatic referral opportunities (as per the Credit Framework, Section 7, Progression [https://www.kent.ac.uk/teaching/qa/creditframework/creditinfo.html#progression]).

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\(^3\) The exceptions to this are where a student has had their studies terminated, or where a claim of academic misconduct is being considered against a graduate.
12. Appeals

12.1 Students wishing to appeal against the decisions of Chairs of/Academic Misconduct Committees, may do so on appropriate grounds.

12.2 Appeals on these grounds must be submitted in line with the procedures set out in KMMS Appeals Procedure.

13. Contract Cheating

13.1 The Quality Assurance Agency (QAA) defines Contract Cheating as:

“… A form of cheating where a student submits work to a higher education provider for assessment, where they have used one or more of a range of services provided by a third party, and such input is not permitted.”

The contract with the student can include payment or other favours, but this is not always the case.

• 'Services' may include essays or other types of assignments, conducting research, impersonation in exams and other forms of unfair assistance for completing assessed work.
• 'Third parties' include web-based companies or auction sites (essay mills), sharing websites (including essay banks), or an individual such as a lecturer, colleague, friend or relative.
• 'Input' means that the third party contributes to the work of the student, such that there is reasonable doubt as to whose work the assessment represents.”

13.2 The University takes Contract Cheating in any form extremely seriously, and as such any proven case of academic misconduct which involves Contract Cheating will result in one of the highest levels of penalty available being applied, including termination of studies with no award.

14. Academic Misconduct in Examinations

14.1 Students should familiarise themselves with the guidance on examination conduct provided in the General Regulations and related examinations information provided by the examination office concerned. Failure to observe these requirements may lead to the academic misconduct procedures being instigated. KMMS examinations may be held under the auspices of Canterbury Christ Church University or University of Kent

14.2 Where a case of suspected cheating is identified within an examination, the examination office concerned will inform KMMS and provide them with relevant incident report pro-forms so that an investigation can be carried out.

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14.3 Where applicable, following investigation, the above academic misconduct procedures will be followed.

15. Revocation of Awards

15.1 The Universities reserve the right to investigate an allegation of academic misconduct made following a student receiving their award. In such cases, the University will investigate the allegation under the procedures above to determine what action should be taken.

15.2 As outlined in the KMMS Regulations for the Conferment of the Award of Bachelor of Surgery Bachelor of Medicine, the Academic Board of Canterbury Christ Church and the Senate of the University of Kent acting jointly shall have the power at any time to deprive any holder of an award of the University following the recommendation of a Panel appointed jointly by the Chairs of the Academic Board of Canterbury Christ Church and the Senate of the University of Kent for the purpose of reviewing the case.
Appendix A - Academic Misconduct Penalties

Criteria

All academic misconduct offences are considered under the principle of strict liability.
In determining the appropriate penalty for an offence, the following criteria will be considered:

i. The type and severity of academic offence.
ii. The level of study and previous educational background of the student.
iii. Whether the student admits or denies the allegation.
iv. Previous or concurrent academic offences.
v. The impact of the penalty on the student's progress or award.

Categories of Offence:
- Minor (including first offences) – Typically A and B penalties.
- Significant – Typically C and D penalties.
- Serious (including repeated offences) – Typically E penalties.

It is recognised however that the specific circumstances of an allegation of misconduct may require discretion to be used on whether or minor, significant or serious penalty should be applied.
<table>
<thead>
<tr>
<th>Category</th>
<th>Level</th>
<th>Penalty</th>
<th>Referral to Low Level Concerns/Fitness to Practise Procedures</th>
<th>Warning Letter</th>
<th>Academic Practice Referral</th>
<th>Academic Integrity Training Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>0</td>
<td>No penalty - no offence committed; student referred for academic support</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>1</td>
<td>No penalty - first/minor offence committed; student referred for academic support</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td></td>
</tr>
<tr>
<td>B.</td>
<td>1</td>
<td>Minimum penalty - formal warning placed on student record</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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</tr>
<tr>
<td></td>
<td>2</td>
<td>Penalised assessment mark of 10 percentage points</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>C.</td>
<td>1</td>
<td>Penalised assessment mark appropriate to the nature of the offence (may be greater than 10 percentage points)</td>
<td>✓</td>
<td>✓</td>
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<td></td>
<td>2</td>
<td>Penalised assessment mark capped at the pass mark</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td></td>
<td>3</td>
<td>Penalised assessment mark capped at the pass mark following resubmission</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td></td>
<td>4</td>
<td>Penalised module mark capped at the pass mark</td>
<td>✓</td>
<td>✓</td>
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<td></td>
</tr>
<tr>
<td>D.</td>
<td>1</td>
<td>Penalised assessment mark of zero</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>Penalised module mark of zero (regardless of other assessment marks), capped resit permitted</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>Reduce overall Stage mark by 10 percentage points</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td>E.</td>
<td>1</td>
<td>Reduce programme classification by one or more class (not available to Stage 1 UG)</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>Award a mark of zero for the academic year with no opportunity for referral</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>Termination of academic studies with exit award where appropriate</td>
<td>-</td>
<td>-</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Notes

1. Penalties involving a deduction of marks should ensure that the deduction is proportionate to the offence committed and the nature of the assessment.
2. Penalties may be applied singly or in combination where a candidate has previously committed an offence.
3. Any of the penalties may also be applied where an offence is committed in relation to reassessment (e.g. resubmission &/or referral).
4. Where a penalty involves resubmission of assessment, the resubmission will count as a referral opportunity for Credit Framework purposes.
5. Where an academic penalty is not appropriate (e.g. where it is alleged that a student has falsified documentation as part of an academic procedure, such as the mitigation of extenuating circumstances) the Chair of the Academic Discipline Committee may refer the offence for consideration under the Regulations on student discipline in relation to non-academic matters.
### Appendix B - Exemplar Offences and Penalties (indicative only)

<table>
<thead>
<tr>
<th>Academic Offence</th>
<th>Severity</th>
<th>Penalties</th>
<th>Exemplar</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Exam or ICT Misconduct</strong></td>
<td>Minor (including first offences)</td>
<td>B1</td>
<td>breach of guidance for candidates but deemed not to have gained an advantage or placed other students at a disadvantage (e.g. possession of unauthorised materials)</td>
</tr>
<tr>
<td></td>
<td>Significant</td>
<td>D1, D2</td>
<td>breach of guidance for candidates and deemed to have gained an advantage or placed other students at a disadvantage (e.g. use of unauthorised materials)</td>
</tr>
<tr>
<td></td>
<td>Serious (including repeated)</td>
<td>D1-D3, E2</td>
<td>serious and/or repeated breach of guidance for candidates and deemed to have gained a significant advantage (e.g. use of unauthorised materials)</td>
</tr>
<tr>
<td><strong>Attempting to influence an examiner or teacher</strong></td>
<td>Any</td>
<td>E2 to E4</td>
<td>seeking to gain an advantage by offering inducements to an examiner to treat the work more favourably than is merited</td>
</tr>
<tr>
<td><strong>Plagiarism</strong></td>
<td>Minor (including first offences)</td>
<td>A1 to B2</td>
<td>poor academic practice, unattributed material characteristic of general approach</td>
</tr>
<tr>
<td></td>
<td>Significant</td>
<td>C1 to C4</td>
<td>lengthy incidences of material inappropriately close to original source</td>
</tr>
<tr>
<td></td>
<td>Serious (including /repeated)</td>
<td>D1 to E4</td>
<td>little or no independent academic value and/or repeated offence</td>
</tr>
<tr>
<td><strong>Self-plagiarism</strong></td>
<td>Any</td>
<td>A1 to B2</td>
<td>minor failure to attribute prior work</td>
</tr>
<tr>
<td>Category</td>
<td>Level</td>
<td>Description</td>
<td></td>
</tr>
<tr>
<td>-------------------------------</td>
<td>----------------------------------------------------------------------</td>
<td>-----------------------------------------------------------------------------</td>
<td></td>
</tr>
<tr>
<td>Collusion</td>
<td>Any</td>
<td>D1 to E4</td>
<td></td>
</tr>
<tr>
<td>Impersonation</td>
<td>Any</td>
<td>E2 to E4</td>
<td></td>
</tr>
<tr>
<td>Fabrication</td>
<td>Minor (including first offences)</td>
<td>C3</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Significant</td>
<td>D2</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Serious (including /repeated)</td>
<td>E2 to E4</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>minor inappropriate manipulation of data or source material to support the piece of work</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>inappropriate manipulation of data or source material to support the piece of work</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>fabrication or falsification of data to support the piece of work</td>
<td></td>
</tr>
<tr>
<td>Failure to obtain</td>
<td>Any</td>
<td>Research Board to advise</td>
<td></td>
</tr>
<tr>
<td>ethical/research approval</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Contract Cheating</td>
<td>Any</td>
<td>E2 to E4</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>submission or work for assessment that is wholly or in part the product of third-party services</td>
<td></td>
</tr>
</tbody>
</table>
Notes

1. Penalties involving a deduction of marks should ensure that the deduction is proportionate to the offence committed and the nature of the assessment.
2. Penalties may be applied singly or in combination where a candidate has previously committed an offence.
3. Any of the penalties may also be applied where an offence is committed in relation to reassessment (e.g. resubmission &/or referral).
4. Where a penalty involves resubmission of assessment, the resubmission will count as a referral opportunity for Credit Framework purposes.
5. Where an academic penalty is not appropriate (e.g. where it is alleged that a student has falsified documentation as part of an academic procedure, such as the mitigation of extenuating circumstances.) the Chair of the Academic Discipline Committee may refer the offence for consideration under the Regulations on student discipline in relation to non-academic matters.